

WHISTLEBLOWER'S REPORT FORM

ADDRESSEE

BluOr Bank AS

1. DESCRIPTION OF THE VIOLATION

Please provide the information at your disposal regarding the possible violation. Indicate the particular facts or circumstances which point to it, for example, the date and place where the possible violation was observed, the natural or legal persons involved, and the positions held by the persons involved. If you have any evidence at your disposal (for example, documents, photographs or email correspondence), please attach it to the report or indicate where it may be reviewed.

2. HOW THE INFORMATION WAS OBTAINED (CONNECTION WITH WORK)

Your connection with BluOr Bank AS, where the possible violation was observed:
(please tick as appropriate):

- I perform work in the organisation, but I do not have a contractual relationship with it
- I provide a service to BluOr Bank AS
- I observed the possible violation while in traineeship at BluOr Bank AS
- I previously worked at BluOr Bank AS and observed the possible violation during that time
- Other type of connection with BluOr Bank AS (please specify):

3. PLEASE INDICATE WHICH PUBLIC INTERESTS AND WHICH MEMBERS OF THE PUBLIC ARE AFFECTED, AND WHAT HARM THE ABOVE-MENTIONED VIOLATION CAUSES OR MAY CAUSE¹

¹ Reporting only on an infringement of personal interests shall not be considered whistleblowing.

4. HAVE YOU PREVIOUSLY REPORTED THIS VIOLATION?
(please tick as appropriate and provide comments where necessary)
<p>No, this is the first time I am reporting it</p> <p>Yes, I reported it to BluOr Bank AS using the internal whistleblowing system</p> <p>Yes, I reported it to another authority (please indicate which one): _____</p> <p>Yes, I reported it both to BluOr Bank AS and to another authority</p> <p>Other information _____</p>
Comments
5. PREFERENCE REGARDING FURTHER COMMUNICATION
(please tick if applicable)
<p>I do not wish to receive a confirmation of receipt of the report</p> <p>I do not wish to receive the decision to recognise or not recognise the submission as a whistleblower's report</p>
6. ATTACHMENTS
Please indicate the documents attached to the report which, in your opinion, support the possible violation. If you have indicated that you have previously reported this violation, please attach the reply received, if any.
1.
2.
3.
4.
5.
6.
7.
8.
9.
7. INFORMATION ABOUT THE SUBMITTER
Name, surname
Contact information (address, email address, telephone number, official electronic address, or other contact details through which you can be reached, including for the receipt of a reply)

8. DATE OF SUBMISSION* _____

dd/mm/yyyy

By submitting a whistleblower's report, I confirm that:

- I consider the information provided in the report to be true, I am acting in good faith, and I understand that the intentional provision of false information is not considered whistleblowing and that I may be held liable in accordance with the procedures laid down in laws and regulations;
- I have been informed that the Bank will process the personal data contained in the report for the purposes of reviewing the report and taking the necessary action in response to it. More detailed information on the Bank's Privacy Policy is available here: <https://www.bluorbank.lv/en/information-on-processing-of-personal-data>.

If the report does not meet the requirements of the Whistleblowing Law and is not recognised as a whistleblower's report, the Bank will handle it otherwise in accordance with the content of the report and will inform you accordingly.

(signature)* _____

* You do not need to indicate the submission date or complete the "signature" field if the electronic document has been prepared in accordance with the requirements for electronic documents.

To be completed by the responsible person

Date of registration of the report _____ Registration No. _____
dd/mm/yyyy

WHAT HAPPENS NEXT:

- You will receive an **acknowledgement of receipt of the report** within five days, unless you indicated in section 5 that you do not wish to receive it.
- Within three days after a **decision** has been taken on **whether your submission is recognised as a whistleblower report**, you will be informed of that decision using the contact details provided in section 7 of your report, unless you indicated in section 5 that you do not wish to receive such notification.
- If necessary for the review of the whistleblower report, you may be contacted to provide additional information.
- If your submission is recognised as a whistleblower report, the responsible person will inform you:
 - * **about the progress of its review** within two months from the date on which your submission is recognised as a whistleblower report;
 - * once the review of the whistleblower report has been completed, **about the facts established and the decision taken** or action taken.
- If anything is unclear, you may contact the Bank's responsible person for whistleblowing matters at trauksme@bluorbank.lv.