

APPLICATION FOR GRANTING A GUARANTEE TO A LEGAL ENTITY

FILL IN USING BLOCK CAPITALS!

1. Information on the Applicant for the Guarantee

Company name _____

Registration No. _____ Registration date _____ Country of registration _____
dd/mm/yyyy

Registered office _____
(Street, building and office number, city, postal code, country)

Actual address (if different from the registered office) _____
(Street, building and office number, city, postal code, country)

Applicant's representative: _____
(Name, surname, personal identity number, or date of birth if there is no personal identity number, position, contact phone, e-mail)

Basis of representation _____

Full characteristics of the Applicant's business activity

2. Information on the recipient of the Guarantee (Beneficiary)

Name of company/institution _____

Registration No. _____ Registration date _____ Country of registration _____
dd/mm/yyyy

Registered office _____
(Street, building and office number, city, postal code, country)

Actual address (if different from the registered office) _____
(Street, building and office number, city, postal code, country)

3. Information on the requested Guarantee

Guarantee amount and currency	
Type of guarantee (specify the required option)	Payment Guarantee Advance Payment Guarantee Performance Bond Bid or Tender Bond Warranty Bond Other (specify)
Underlying transaction Name of the tender/Subject of the Agreement/ Brief description of the goods or services:	
Number and date of the Agreement, number of tender terms and conditions, number of other main document	
Expiry date/event and the time period for filing a claim with regard to the Guarantee	End date of the Guarantee, or number of days Time period for filing a claim with regard to the Guarantee
Language of the Guarantee:	Latvian English
Guarantee to be issued (specify the required option):	In paper form: Issued to the applicant in person <small>(Name, surname)</small> Sent by courier to the Beneficiary <small>(Name of the recipient of the Guarantee)</small> <small>(address – street, building and office number, city, postal code, country)</small>

FAILURE TO SPECIFY AN ITEM OF REQUESTED INFORMATION WILL BE INTERPRETED AS LACK OF CORRESPONDING DATA.

Guarantee to be issued (<i>specify the required option</i>):	Electronically: Signed with a secure electronic signature and sent by e-mail to <i>(E-mail)</i> Sent in SWIFT format to the Beneficiary bank <i>(Bank name and SWIFT code)</i>
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4. Information on the Applicant's obligations (including guarantees) in other credit institutions (except for obligations to BluOr Bank AS, hereinafter – the Bank), leasing companies and to other third parties

Lender (name, registration number, country/Name, surname, personal identity number, or date of birth)	Purpose of obligations	Amount of obligations under the agreement	Current balance of liabilities	Collateral of liabilities (form and amount)	Payment for liabilities (Interest rate/regular payment amount, EUR)	End term of obligations
1.						
2.						
3.						

5. Additional information on the Applicant for the Guarantee

	Yes	No
Does the company have other existing or potential liabilities that are not reflected in the financial statements and in Section 4 of the Application?		
If yes, please indicate what these liabilities are		
Does the company have tax debts?		
Is the company a party to any lawsuits?		
Does the company have obligations after lost legal proceedings?		

6. Applicant's participants/shareholders/beneficial owners

Information about participants/shareholders:

Name, surname/ Company name	Personal identity number/ Date of birth/ Registration number	Declared address/ Registered office	Country of tax residence/ Country of registration	Number of shares as a percentage

6.1. Declaration of the beneficial owner (hereinafter – BO)

Name, surname			
Personal identity number (if any), date of birth, identity document No., date of issue, country of issue, and institution that issued the document* *(if the BO has not been issued an identity document in the Republic of Latvia)			

Basis (specify one of the options):			
- directly or indirectly owns percent (%) of capital/ voting shares out of the total number of shares issued by the Applicant:	_____ %	_____ %	_____ %
- type of control:	as a representative of an executive body or superior management institution on the basis of an authorisation agreement via a legal arrangement as a founder/trustee/ manager otherwise (please specify)	as a representative of an executive body or superior management institution on the basis of an authorisation agreement via a legal arrangement as a founder/trustee/ manager otherwise (please specify)	as a representative of an executive body or superior management institution on the basis of an authorisation agreement via a legal arrangement as a founder/trustee/ manager otherwise (please specify)
Citizenship (nationality)			
Country of residence			
Country of tax residence			
Is the BO a politically exposed person (PEP)?	No PEP family member Yes Close associate of a PEP	No PEP family member Yes Close associate of a PEP	No PEP family member Yes Close associate of a PEP
Does the BO qualify as a U.S. person? (If yes, fill out the U.S. Taxpayer Status Determination Form)	Yes No	Yes No	Yes No

6.2. Enterprises in which the Applicant's participants/shareholders/beneficial owners own a share (more than 20%)

Company name	Registration number and country, type of activity	Share in the company's capital, and which of the participants/shareholders/ beneficial owners owns it

7. Information on the security of the Guarantee

7.1. Funds in the cover account with the Bank

Payer of funds (Name, registration number, country)	Amount and currency	Ratio of the Guarantee amount to the funds cover amount (%)	Origin of funds*	Term of crediting funds to the cover account

*Prove with relevant documents

7.2. Real estate

Description:		Owner:	
Cadastral No.		Address:	
Mortgaged or otherwise encumbered		If so, specify the mortgagee	
Not mortgaged or otherwise encumbered			
Insured		If so, specify the insurance company and the beneficiary of the insurance indemnity	
Not insured			
Property market value	Appraiser	Date of assessment	

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7.3. Movable property, current assets

Description:		Owner:
Location		
Pledged or otherwise encumbered		If so, specify the pledgee
Not pledged or otherwise encumbered		
Insured		If so, specify the insurance company and the beneficiary of the insurance indemnity
Not insured		

7.4. Information on the Guarantor (fill in Annex 1 and/or Annex 2, if applicable):

If the Guarantor is an individual:

Name, surname _____ Personal identity number _____
 Date of birth _____ Country of residence _____
dd/mm/yyyy (if not the Republic of Latvia)

If the Guarantor is a legal entity:

Name _____ Registration number, _____
 Country of registration _____

7.5. Other collateral

Description of the collateral

Type of collateral _____

Collateral value (specify the appraiser and the date of assessment) _____

Collateral owner (if an individual: name, surname, personal identity number (if any), date of birth, citizenship (nationality), permanent place of residence (address)/ if a legal entity: name, registration number and country) _____

7.6. Information on the mortgagor/pledgor (a person specified as the owner in Section 7.2, 7.3, if it is not the Applicant for the Guarantee themselves)

If the mortgagor/pledgor is an individual:

Name, surname _____ Personal identity number (if any) _____
 Date of birth _____ Citizenship (nationality) _____
dd/mm/yyyy
 Country of tax residence _____
(if not the Republic of Latvia)

If the mortgagor/pledgor is a legal entity:

Name _____ Registration number, _____
 Country of registration _____

7.7. Property belonging to the Applicant (tangible and intangible) worth more than 20% of the requested Guarantee amount (limit)

Name of the property	Value, EUR (specify the appraiser and the date of assessment)	Location

8. Documents attached to the Application

- 8.1. Identity document of the signatory of the Application and, if applicable, a document confirming the right of representation (a copy certified in the presence of an employee in case the Application for Granting a Guarantee is not signed with a secure electronic signature).
- 8.2. Environmental, Social and Governance (ESG) Factors Questionnaire (at the request of the Bank).
- 8.3. Documents in accordance with Annex 3.

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9. Confirmation and consent

We hereby confirm that all the information provided is complete and true and we are aware of the liability for providing false information in accordance with the applicable laws and regulations.

We confirm that, prior to signing the Application, we have read the Pricelist and the General Terms of Business of the Bank, we understand, agree and undertake to comply with them. We are aware that all previously specified documents approved by the Bank and amendments thereto are available at the Bank's website www.bluorbank.lv or at the Client Service Centre.

We confirm that we have read the definitions used in this Application and their explanations, which are available at <https://www.bluorbank.lv/en/definitions>.

We are aware that the Bank is entitled to provide and receive information about the Applicant for the Guarantee and related persons from the Credit Register of the Bank of Latvia in accordance with the procedure specified in the applicable legislation. We are aware that the Bank will provide information on violations of obligations under the guarantee agreement to the Credit Register of the Bank of Latvia.

We are aware that the Bank, on the basis of mutually concluded agreements, is entitled to provide, request and receive through AS „Kredītinformācijas Birojs”, reg. No. 40103673493, or other licensed credit information bureaus information about the Applicant for the Guarantee, their credit obligations and violations.

We are informed that if a guarantee by AS „Attīstības finanšu institūcija Altum”, registration No. 50103744891 (Altum), is used to secure the guarantee, the Bank sends to Altum its decision on granting the Guarantee, including the information on the Applicant for the Guarantee, the amount and currency of the Guarantee to be granted, the purpose of use of the guarantee and its term, guarantee usage fee and security, as well as the information we have provided to the Bank in relation to the processing of this Application. Purpose of providing information – receiving state aid in accordance with Cabinet Regulation No. 383 of 20 June 2020 “Provisions on guarantees for economic operators to improve competitiveness”. Loan guarantee is granted to farmers in accordance with Cabinet Regulation No. 9 of 8 January 2019 “Provisions of the guarantee program for agriculture, fisheries and rural development”.

We confirm that there are legal grounds for data processing to transfer to the Bank the data of third parties specified in the Application, and that the specified third parties and the Applicant for the Guarantee are informed that the Bank processes personal data in accordance with the Privacy Policy. The purpose of data processing is to assess creditworthiness, conclude an agreement on a credit risk-related service, conduct due diligence of the Applicant for the Guarantee and comply with the requirements of international and national sanctions. More detailed information about the Privacy Policy is available here: <https://www.bluorbank.lv/en/information-on-processing-of-personal-data>.

We agree that electronic mail (e-mail) can be used to exchange information and documents, and that in case of an affirmative decision, the Bank sends the Guarantee offer and agreements by e-mail to the e-mail address specified in the Application. We confirm that we are aware of the risks associated with the use of e-mail, and that the Bank has informed about the possible risks, explained their consequences, and the above information is understandable.

Applicant for the Guarantee¹

Name of the Applicant _____

Representative's name, surname/Basis of representation _____

Signature _____

Digipass key (S) _____

Place of signing _____
(country, city)

Date _____
dd/mm/yyyy

¹ **Ignore** this section if the document has been drawn up and signed in accordance with the laws and regulations regarding the execution of electronic documents (**using a secure electronic signature**).

Fill in the section fields: "Name, surname of the Applicant's Representative", "Digipass key (S)", "Date", if the document is signed electronically using "**Digipass key (S)**".